

09-27-01 A

NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)

Duplicate
(check, if applicable)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 10407-009US (A1028MT-US1)
First Named Inventor: Shinya ABE *et al.*
Express Mail Label No. EL916935354US
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

OPTICAL DISC AND METHOD FOR MANUFACTURING THE OPTICAL DISC

which is:

an Original; or
a Continuation, Divisional, or Continuation-in-part (CIP)
of prior Application No. filed.

Anticipated Group/Art Unit: or Class, Subclass.

This non-provisional patent application is based on Provisional Patent Application No.,
filed.

Enclosed are:

- Specification (including Abstract) and claims: 37 pages.
- Application Data Sheet.
- Newly executed/unexecuted Declaration (original/copy).
- Copy of Declaration from prior application.
- Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- 6 sheets of drawings (formal).
- Transmittal Letter Accompanying Submission of Compact Disc in Accordance
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program
Appendix)
- Nucleotide and/or Amino Acid Sequence Submission, including:
 - Computer readable copy Paper Copy Verified Statement.
- Under PTO-1595 Cover Sheet, an assignment of the invention
- Name of Assignee: **Matsushita Electric Industrial Co., Ltd.**
- Certified copies of Japanese Application Nos. 2000-300053 filed September 29,
2000 and 2001-225009 filed July 25, 2001 are filed:
 - herewith or in prior application.
- Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under
37 C.F.R. §1.27 as an Independent Inventor, or a Small Business Concern,
or a Non-Profit Organization.
- Preliminary Amendment.
- Information Disclosure Statement, PTO-1449, and cited references.
- Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- Other:

10407-009US PTO
09/25/01

10407-009US PTO
09/25/01

The filing fee is calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355		BASIC FEE: \$710	
Total	30-20 =	10	X9	\$	OR	X18 \$180.00
Independent	3- 3 =	0	X40	\$	OR	X80 \$
[]	Multiple Dependent Claims Present		\$135	\$	OR	\$270 \$
			TOTAL	\$	OR	TOTAL \$890.00

The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.

A check in the amount of \$890.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210407.0009)** as noted below. A duplicate copy of this sheet is enclosed.

Any overpayments or deficiencies in the above-calculated fee.

Filing fee in the amount of \$ _____ as calculated above.

Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

September 25, 2001

(Date)

By:

William W. Schwarze

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WWS:srn
Enclosures